

COPY

PTO/SB.21 (08-04) (AW 10/2004)

Approved for use through 7/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/526,511
	Filing Date	June 5, 2006
	First Named Inventor	Philip Gerald Blakeman
	Art Unit	3748
	Examiner Name	
Total Number of Pages in This Submission 5*	Attorney Docket No.	JMYT-344US


 RECEIVED
 CENTRAL FAX CENTER
 DEC 13 2006

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of eighteen (18) references Return Receipt Postcard
Remarks: *The total number of pages indicated above does not include the number of pages in the references submitted along with the Information Disclosure Statement.		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Christian M. Bauer		
Date	September 27, 2006	Registration No.	51,443

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or Printed Name	Lisa Bennett	Date	September 27, 2006

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

COPY

JMYT-344US

COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**RECEIVED
CENTRAL FAX CENTER
DEC 13 2006**

Appln. No: 10/526,511
Applicants: Phillip Gerald Blakeman et al.
Filed: June 5, 2006
Title: EXHAUST SYSTEM FOR LEAN BURN IC ENGINES
TC/A.U.: 3748
Examiner:

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08A and PTO/SB/08B submitted herewith. A copy of each of the listed "Foreign Patent Documents" and "Non-Patent Literature Documents" is also enclosed. A copy of one U.S. patent document, namely, U.S. Patent No. 6,508,057 is also enclosed, as explained below.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

European Patent No. 1 008 379 is not in the English language, but an English-language equivalent of this patent, namely, U.S. Patent No. 6,508,057 is enclosed. Also, this reference was cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609.04(a)III, second paragraph.

German Patent No. 199 21 974 is not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith. Also, this reference was cited by a foreign patent office in a counterpart foreign application. A copy of

- 1 -

COPY

JMYT-344US


COPY

PATENT

this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609.04(a)III, second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action on the merits. No first Official Action on the merits has yet been received and it is presumed that none has yet been mailed. Therefore, no fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Christopher R. Lewis, Reg. No. 36,201
Christian M. Bauer, Reg. No. 51,443
Attorneys for Applicants

CMB/lrb

Enclosures: PTO/SB/08A & PTO/SB/08B
Copy of eighteen (18) references
Copy of International Search Report

Dated: September 27, 2006

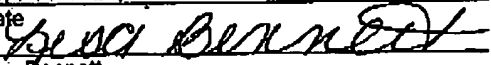
P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

September 27, 2006

Date



Lisa Bennett

LRB_C:\INREPORTBL\RP\LISA\67718_1.DOC

COPY

COPY

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	10/528,511
Filing Date	June 5, 2006
First Named Inventor	Philip Gerald Blakeman
Art Unit	3748
Examiner Name	
Attorney Docket No.	JMYT-344US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-6,508,057	01-21-2003	Bouchez et al.	
	2	US-6,415,602	07-09-2002	Patchett et al.	
	3	US-2002/0073692-A1	06-20-2002	Katashiba et al.	
	4	US-6,354,079-B1	03-12-2002	Choi et al.	
	5	US-6,192,676-B1	02-27-2001	Zürbig et al.	
	6	US-6,125,629	10-03-2000	Patchett	
	7	US-5,968,464	10-19-1999	Peter-Hoblyn et al.	
	8	US-5,785,937	07-28-1998	Neufert et al.	
	9	US-4,902,487	02-20-1990	Cooper et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	10	EP-1 149 991-A2	10-31-2001	Ford Global Technologies, Inc.		<input type="checkbox"/>
	11	EP-1 069-288-A2	01-17-2001	Ford Global Technologies, Inc.		<input type="checkbox"/>
	12	EP-1 008 379-A1	06-14-2000	Institut Français du Pétrole		<input type="checkbox"/>
	13	EP-0 747 581-A1	12-11-1996	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	15	EP-0 487 886-A1	06-03-1992	Man Technologie Aktiengesellschaft		<input checked="" type="checkbox"/>
	15	EP-0 341-832-A2	11-15-1989	Johnson Matthey, Inc.		<input type="checkbox"/>
	16	GB-2 319 192-A	05-20-1998	Daimler-Benz AG		<input type="checkbox"/>
	17	DE-199 21 974-A1	11-16-2000	Volkswagen AG		<input type="checkbox"/>
	18	JP-11-013456 (Abstract Only)	01-19-1999	Toyota Motor Corp.		<input type="checkbox"/>
	19	WO-03/054364-A2	07-03-2003	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	20	WO-02/068099-A1	09-06-2002	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	21	WO-01/04466-A1	01-18-2001	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	22	WO-00/74823-A1	12-14-2000	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	23	WO-00/21647-A1	04-20-2000	Johnson Matthey Public Limited Company		<input type="checkbox"/>
	24	WO-99/55446-A1	11-04-1999	Johnson Matthey Public Limited Company		<input type="checkbox"/>

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

COPY

COPY

PTO/SB/088 (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Subtitle for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> SHEET 2 of 2	Complete If Known	
	Application Number	10/528,511
	Filing Date	June 6, 2006
	First Named Inventor	Philip Gerald Blakeman
	Art Unit	3748
	Examiner Name	
	Attorney Docket No.	JMYT-344US

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	25	P. G. BLAKEMAN et al., "Investigations into NO _x Aftertreatment with Urea SCR for Light-Duty Diesel Vehicles," <i>SAE Technical Paper Series</i> , Paper No. 2001-01-3624, Presented at the International Fall Fuels and Lubricants Meeting and Exposition, San Antonio, Texas, September 24-27, 2001.	<input type="checkbox"/>
	26	ALAN BUNTING, "Springing the trap: Alan Bunting reports on a new particulate matter trap, claimed to be a world-beater by maker Johnson Matthey," <i>Emissions</i> , May 2000, pp. 73-74.	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

COPY

COPY

BEST AVAILABLE COPY

September 27, 2006
Commissioner for Patents & Trademarks
Please acknowledge receipt of the document(s)
described below by imprinting the Patent & Trademark Office
"date stamp" hereon and returning this card to the addressee
indicated on the reverse side.

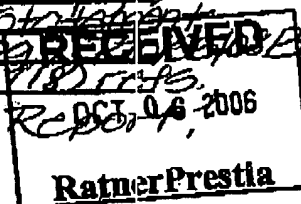
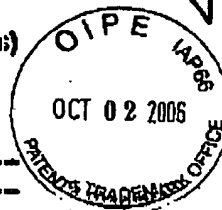
FILE NO. JM4T-344115

FEE DUE? YES (NO) AMOUNT ENCLOSED

NAME Philip G. Blakemore et al.

DOCUMENT Transmittal Form (1 pg.)

Information Disclosure Statement
(2 pgs.) with P018B1084 (pg. 108)
(1 pg.) copy of eighteen (18) refs.
and copy of Int'l Search Report



10/526,511

COPY